

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

MARIO MERINO,

Petitioner,

v.

MARION SPEARMAN, Warden,

Respondent.¹

Case No.: C 08-3231 CW (PR)

ORDER DENYING PETITION FOR WRIT
OF HABEAS CORPUS; GRANTING, IN
PART, CERTIFICATE OF
APPEALABILITY

Petitioner Mario Merino, a California prisoner at Correctional Training Facility at Soledad, filed a pro se petition for a writ of habeas corpus pursuant to 28 U.S.C. § 2254, challenging the validity of his state conviction, in which he asserted three claims: (1) violation of Doyle v. Ohio, 426 U.S. 610 (1976); (2) violation of Griffin v. California, 380 U.S. 609 (1965); and (3) ineffective assistance of counsel. Respondent filed an answer and a memorandum of points and authorities in support thereof. On May 6, 2010, the Court granted Petitioner's motion to stay his petition and hold it in abeyance so that he could exhaust a Brady claim in state court. On October 4, 2011,

¹In accordance with Habeas Rule 2(a) and Rule 25(d)(1) of the Federal Rules of Civil Procedure, the Clerk of the Court is directed to substitute Warden Marion Spearman as Respondent because he is Petitioner's current custodian.

1 Petitioner filed a motion to lift the stay and for leave to file
2 an amended petition. The Court granted this motion. On March 12,
3 2012, Respondent filed his supplemental response and, on March 22,
4 2012, Petitioner filed his supplemental traverse. For the reasons
5 discussed below, the Court DENIES the petition and the amended
6 petition and GRANTS, IN PART, a certificate of appealability.

7 BACKGROUND

8 I. Procedural History

9 On April 5, 2004, a Santa Clara County jury, after
10 deliberating two hours, found Petitioner guilty of aggravated
11 sexual assault of a child under the age of fourteen who was ten or
12 more years younger than Petitioner. CT 164-66. On October 21,
13 2004, the trial court sentenced Petitioner to fifteen years to
14 life in state prison. Petitioner appealed, asserting the Doyle
15 violation claim. On February 9, 2006, the California Court of
16 Appeal affirmed the judgment. Ex. 3, People v. Merino, 2006 WL
17 302642 (Cal. Ct. App. Feb. 9, 2006) (unpublished). On April 19,
18 2006, the California Supreme Court summarily denied review. Exs.
4, 5.

19 On June 6, 2007, Petitioner filed a petition for a writ of
20 habeas corpus in the Superior Court, asserting claims of a Griffin
21 violation and ineffective assistance of trial and appellate
22 counsel. Ex. 6. On July 20, 2007, in a short written order, the
23 court denied the petition. Ex. 7. Petitioner filed petitions for
24 a writ of habeas corpus in the California Court of Appeal and
25 California Supreme Court, which were summarily denied. On July 8,
26 2008, Petitioner filed the instant petition.

1 II. Statement of Facts

2 The California Court of Appeal summarized the facts of this
3 case as follows:

4 Prosecution Case

5 Defendant and his wife, H., had been married seven years and
6 had two children, a six-year-old son and a four-year-old
7 daughter (the child). On October 1, 2003, the family lived
8 in a split-level house in San Jose. Defendant and H. had had
9 an argument two days earlier and had not spoken to each other
10 since that time. They had previously discussed divorce and
11 resulting child custody issues. Each of them claimed that he
or she would get custody of the children, and that the other
one would not. Although the last time divorce was mentioned
between them was six months before the most recent argument,
H. decided after that argument to seek a divorce. At the
time of the March 2004 trial, however, H. had not yet
instituted divorce proceedings.

12 On the night of October 1, 2003, H. got the children ready
13 for bed around 7:30 p.m. She then allowed them to watch
14 cartoons in her son's bedroom on the third level of the
15 house, while she watched television in a room on the first
16 level. Defendant arrived home sometime between 8:30 and 9:00
17 p.m. A few minutes before then, H. went upstairs to tell the
18 children that it was almost bedtime. The children were in
their son's bed, awake. H. returned to the television room
and was there when defendant entered the room from the
garage. Defendant acted intoxicated, which was not unusual;
he often came home intoxicated. He and H. did not speak to
each other as he walked through the television room.

19 H. heard defendant enter the kitchen on the second level and
20 prepare some food. She then heard their son talking. H.
21 went into the kitchen and saw defendant and their son talking
22 to each other. H. returned to the television room and
23 defendant and their son went upstairs. H. could hear
24 footsteps going in and out of the master bedroom overhead.
25 She thought that the children were going in to see defendant
26 and was concerned because defendant was intoxicated and thus
27 short-tempered. Before the show she wanted to watch at 9:00
28 p.m. began, H. heard the child crying. H. went upstairs.
She glanced into the master bedroom on her way to the
children's rooms and saw defendant in bed and the television
on. H. went first to their son's room, where she saw her son
in his bed still watching television. She could hear the
child's muffled crying, but she did not find the child in
that room. She then went to the child's room, but the child
was not there either. H. heard the child's muffled crying
again, so she headed for the master bedroom. While still in
the hall she heard defendant say, "'Shh, shh, shh, baby, just
suck it.'" When H. entered the master bedroom she saw

1 defendant lying on his back in bed with the bedcovers over
2 him, but she did not see the child.

3 H. asked defendant whether he knew where the child was.
4 Defendant responded, "'Who.'" H. heard the child crying and
5 saw movement under the bed covers. She also saw the child's
6 pajama bottoms on the floor. She pulled the bed covers back
7 and saw the child on top of defendant with his penis in her
8 mouth. Defendant had his hand on the child's head. The
9 child pulled herself away and said "mommy, mommy." H. saw
10 that defendant's penis was erect. She grabbed the child and
11 said, "What are you doing, you God damn child molester."
12 Defendant responded, "What?" H. said, "I'm going to call the
13 cops on you." Defendant responded, "So what, go ahead." H.
14 took the child, who was naked from the waist down, and
15 returned to the television room.

16 H. put the child in a recliner and wrapped her in a blanket,
17 then got a phone book and called a rape crisis hotline. She
18 was told that if she wanted to file a report she needed to
19 call the police. She hung up and dialed 911. Upset and not
20 thinking clearly, she hung up. She did not talk to their
21 daughter about what had happened. She heard defendant call
22 out to their son to get him some food, and she heard their
23 son getting the food. The 911 operator called her back and
24 H. told the operator what had happened. FN3 In the middle
25 of the call, which began at 9:13 p.m., H. asked the child,
26 "Did Daddy touch you before, Baby? He did that before? He
27 touched you?" H. then told the operator that defendant "was
28 under the covers, he had her under the covers and, he told
her to do something, oh God."

FN3 A tape of the 911 operator's call back to H. was
admitted into evidence as exhibit 4 and was played for
the jury. A transcript of the tape, marked for
identification as exhibit 4A, was provided to the jury
during the playing of the tape, but was not admitted
into evidence.

San Jose Police Officer George Chavez responded to
defendant's address at 9:26 p.m. on October 1, 2003. Officer
Fontanilla was already there, talking to H., who was upset
and crying. Officer Chavez spoke to H. for 15 to 20 minutes.
Later, both officers went upstairs and entered the master
bedroom. Defendant was lying in bed, awake, with a sheet
over him. The officers instructed defendant to dress, and
then handcuffed him and took him into custody. Defendant
appeared to be intoxicated, but he did not appear to be
upset, and he complied with the officers' instructions. The
parties stipulated that a sample of defendant's blood, which
was taken at 11:00 p.m. on October 1, 2003, showed a blood-
alcohol content of .19.

Officer Chavez secured the sheets from the bed in the master
bedroom, but there were no obvious fluids on them. The
parties stipulated that the officers had the opportunity to

1 take penile swabs from defendant, but did not do so. Just
2 before leaving the house, Officer Chavez met the child in the
3 lower level of the house. H. sat next to the child while the
4 officer asked the child two questions. To the officer's
5 question whether her daddy put anything in her mouth, the
6 child responded, "yes . . . his penis." To the officer's
7 question whether her daddy had ever done this before, the
8 child responded, "Lots of times." Because the children used
9 to take baths together, H. had previously told the child that
10 boys have a penis and girls have a vagina. Officer Chavez
11 drove H. to meet with Detective Jon Kaiser.

12 Detective Kaiser met with H. at the witness interview center
13 for about 30 minutes. H. was visibly upset, and she broke
14 down and sobbed on at least two occasions during her
15 interview. Detective Kaiser did not ask H. whether the child
16 said something to her when she entered the master bedroom,
17 and H. did not say that the child had cried out to her.
18 Detective Kaiser interviewed defendant at the police
19 department just before midnight on October 1, 2003. FN4
20 Detective Kaiser interviewed the child on the afternoon of
21 October 2, 2003, at the child interview center. FN5 The
22 child told Detective Kaiser that she had sucked on
23 defendant's "vagina," and pointed to the genitalia area on a
24 diagram of a clothed boy.

25 FN4 Detective Kaiser did not testify that he informed
26 defendant of his Miranda rights during the interview.

27 FN5 The interview was videotaped. A DVD of the tape,
28 People's exhibit 2, was played for the jury while they
reviewed a transcript of the DVD, People's exhibit 2A.
Exhibit 2A is not part of the record on appeal.

The child testified that boys use their penis to pee, but
that she did not have a name for the body part that girls
use. She knows that somewhere on her body she has a vagina.
She remembers that she talked to Detective Kaiser about
defendant putting his penis in her mouth. It happened in her
parent's bedroom. Defendant woke her up and took her from
her room to the bed in his room. He put her under the covers
and put his hard penis in her mouth. She did not want to do
it and she cried. She did not try to move away because she
was afraid. H. came into the room, grabbed her, took her
downstairs, and called the police. The police came and she
talked to them. Although defendant had done this before, she
did not tell her mother that he had, and she did not know
why.

The defense case

Ronald Meister, a licensed psychologist, testified as an
expert in the areas of interviewing techniques and
suggestibility. He reviewed the taped interview of the child
by Detective Kaiser, the transcript of the tape, the police
reports, and the tape of the 911 call. In his opinion,

1 assuming that the child was present at the beginning of the
2 911 call, the child's later interviews could have been
3 influenced by what she heard. The child could have believed
4 that what she heard her mother say was true, and it could
5 have affected her memory. The questions Officer Chavez asked
6 the child could have adversely affected subsequent
7 interviews, because the officer was prompting the child
8 rather than asking open-ended questions. And, the diagrams
9 Detective Kaiser presented the child at the start of his
10 interview of her were suggestive introductory prompts. The
11 diagrams should not have been introduced until the child
12 discussed what had happened from her own point of view.
13 Child interviewers should always be neutral in their approach
14 to the child and to the alleged events.

8 Defendant testified in his own defense that his and H.'s
9 marriage had been getting progressively worse. They argued
10 about money and his drinking, and, when discussing divorce,
11 they argued about who would get custody of the children.
12 After their most recent argument, they did not speak to each
13 other for a few days.

12 On the evening of October 1, 2003, defendant went out
13 drinking after work with a fellow employee. He stopped
14 drinking around 8:15 p.m., and he arrived home close to 9:00
15 p.m. As he walked through the television room, H. commented
16 about his drinking and he told her that she would not have to
17 worry about it once they divorced. Defendant went into the
18 kitchen and made himself something to eat. Their son came
19 down and they talked. After about 10 or 15 minutes, they
20 both went upstairs.

17 Defendant went into the master bedroom and their son went to
18 his room. Defendant did not see the child. He got ready for
19 bed. His clock read 9:06 p.m., and he set the alarm for 5:06
20 a.m. He turned on the television and got into bed. The next
21 thing he remembers is H. waking him up, asking whether he had
22 seen the child. He sat up and said, "what." He then
23 realized that the child was in his bed next to him, under the
24 bedcovers. He does not recall bringing the child into his
25 room, and the child did not have her mouth on his penis. He
26 thinks that child molestation is the worst crime imaginable,
27 and he would never have engaged in such behavior. He is
28 upset that he has been falsely accused.

23 H. said to the child, "Come on," "let's go." H. did not make
24 any accusations against him. She did not appear upset or act
25 as though he had done something wrong. It was not unusual
26 for the child to get into bed with him. H. took the child by
27 the hand and they walked out of the room. Defendant called
28 for their son to bring him some food from the kitchen. Their
son did so. Defendant ate the food and went back to sleep.
The next thing he remembers is police officers announcing
their presence in his bedroom. They told him that he was
under arrest and that he was to get up. He did not know what
was going on, but he cooperated with the police. He was

1 handcuffed and taken to the police station. He did not learn
2 what he was arrested for until later that night when he was
interviewed by Detective Kaiser.

3 Ex. 3 at 2-7 (footnotes in original).

4 LEGAL STANDARD

5 A federal court may entertain a habeas petition from a state
6 prisoner "only on the ground that he is in custody in violation of
7 the Constitution or laws or treaties of the United States." 28
8 U.S.C. § 2254(a). Under the Antiterrorism and Effective Death
9 Penalty Act (AEDPA) of 1996, a district court may not grant habeas
relief unless the state court's adjudication of the claim:

10 "(1) resulted in a decision that was contrary to, or involved an
11 unreasonable application of, clearly established Federal law, as
12 determined by the Supreme Court of the United States; or
13 (2) resulted in a decision that was based on an unreasonable
14 determination of the facts in light of the evidence presented in
15 the State court proceeding." 28 U.S.C. § 2254(d); Williams v.
16 Taylor, 529 U.S. 362, 412 (2000).

17 A state court decision is "contrary to" Supreme Court
18 authority, that is, falls under the first clause of § 2254(d)(1),
19 only if "the state court arrives at a conclusion opposite to that
20 reached by [the Supreme] Court on a question of law or if the
21 state court decides a case differently than [the Supreme] Court
22 has on a set of materially indistinguishable facts." Williams,
23 529 U.S. at 412-13. A state court decision is an "unreasonable
24 application of" Supreme Court authority, under the second clause
25 of § 2254(d)(1), if it correctly identifies the governing legal
26 principle from the Supreme Court's decisions but "unreasonably
27 applies that principle to the facts of the prisoner's case." Id.
28 at 413. The federal court on habeas review may not issue the writ

1 "simply because that court concludes in its independent judgment
2 that the relevant state-court decision applied clearly established
3 federal law erroneously or incorrectly." Id. at 411. Rather, the
4 application must be "objectively unreasonable" to support granting
5 the writ. Id. at 409. Under AEDPA, the writ may be granted only
6 "where there is no possibility fairminded jurists could disagree
7 that the state court's decision conflicts with this Court's
8 precedents." Harrington v. Richter, 131 S. Ct. 770, 786 (2011).

9 "Factual determinations by state courts are presumed correct
10 absent clear and convincing evidence to the contrary." Miller-El
11 v. Cockrell, 537 U.S. 322, 340 (2003). A petitioner must present
12 clear and convincing evidence to overcome the presumption of
13 correctness under § 2254(e)(1); conclusory assertions will not do.
14 Id.

15 If constitutional error is found, habeas relief is warranted
16 only if the error had a "'substantial and injurious effect or
17 influence in determining the jury's verdict.'" Penry v. Johnson,
18 532 U.S. 782, 795 (2001) (quoting Brecht v. Abrahamson, 507 U.S.
19 619, 638 (1993)).

20 When there is no reasoned opinion from the highest state
21 court to consider the petitioner's claims, the court looks to the
22 last reasoned opinion of the highest court to analyze whether the
23 state judgment was erroneous under the standard of § 2254(d).
24 Ylst v. Nunnemaker, 501 U.S. 797, 801-06 (1991). In the present
25 case, the highest court to issue a reasoned decision on the Doyle
26 claim is the California Court of Appeal and the highest court to
27 issue a reasoned decision on the ineffective assistance of counsel
28 claims is the Superior Court. The Superior Court denied the
Griffin claim on procedural grounds, which means that this Court

1 independently reviews the record to determine if the state court's
2 rejection of the claim was objectively unreasonable. See Walker
3 v. Martel, 709 F.3d 925, 939 (9th Cir. 2013).

4 DISCUSSION

5 I. Doyle Error

6 Petitioner argues that the prosecutor violated Doyle v Ohio
7 by commenting on Petitioner's silence as an admission of guilt and
8 by improperly cross-examining Petitioner about his silence.

9 A. Pre-Trial Events

10 After Petitioner was arrested, he was interviewed by
11 Detective Jon Kaiser. RT 463. Before Detective Kaiser read
12 Petitioner his rights pursuant to Miranda v. Arizona, 384 U.S. 436
13 (1966), he asked if Petitioner knew why he had been arrested.
14 Petitioner responded that he did not. Petitioner said he had
15 "gotten into it" with his wife that night, and that the police
16 came to arrest him while he was lying in bed. CT 217. Detective
17 Kaiser noted Petitioner smelled like alcohol and Petitioner
18 admitted he had been drinking. CT 218.

19 Detective Kaiser then gave Petitioner the Miranda warning and
20 stated, "Well, there's some allegations of some child molestation
21 involving your daughter. And they're pretty serious charges." RT
22 453, 466; CT 219. Petitioner responded, "Okay." Detective Kaiser
23 then asked if Petitioner had anything to say. CT 219. Petitioner
24 said, "I have a lot to say, but uh . . ." CT 219. Detective
25 Kaiser asked Petitioner to help him "understand why." Petitioner
26 stated, "Well, yeah, exactly. Help me understand why. The only
27 thing I have to say is my wife is uh, help me understand, I mean
28 we had an argument but, but, nothing, none of that precipitates
this. I mean, well, whatever, I mean I . . ." CT 219. Detective

1 Kaiser then began questioning Petitioner about what time he got
2 off work and what he did between the time he left work and got
3 home that night. CT 219-20. At that time, Petitioner invoked his
4 right to an attorney and the interview was terminated. CT 219-20.

5 B. Trial Court Proceedings

6 1. Prosecutor's Opening Statement²

7 In his opening statement the prosecutor told the jury that,
8 at the time the officers entered Petitioner's bedroom and roused
9 him out of his bed, he did not question them about why they were
10 there, what they were doing or what was going on. RT 217-18.
11 Defense counsel objected on the ground that this statement
12 infringed on Petitioner's Fifth Amendment right to remain silent
13 in that it commented on his silence. Id. The court indicated
14 that the objection was not timely and defense counsel responded
15 that his greater concern, since opening remarks are not evidence,
16 was that the prosecutor not be allowed to introduce evidence of
17 Petitioner's silence during the arrest process, as a potential
18 violation of his Fifth Amendment rights. The court ruled that the
19 prosecutor could not introduce evidence of Petitioner's silence
20 during the arrest. RT 218-19.

21 2. Trial Proceedings

22 During trial, the prosecutor advised the court that he
23 intended to cross-examine Petitioner about his statements to
24 Detective Kaiser during the period after he was Mirandized but
25 before he asked for counsel. RT 440. Defense counsel objected,

26 ² The opening statements of counsel were not transcribed and
27 are not part of the record submitted to this Court. This section
28 is taken from the trial court's summary of the prosecutor's
opening remarks that were challenged by defense counsel. RT 214,
217.

1 arguing that Petitioner's interim waiver of his Miranda rights was
2 inadequate. RT 440, 448. The court ruled that Petitioner had
3 implicitly waived his Miranda rights when he chose to talk to
4 Detective Kaiser after being Mirandized and that Petitioner's
5 statements after he waived his Miranda rights and before he
6 requested counsel were admissible. RT 454-56. During trial, the
7 prosecutor cross-examined Petitioner about his statements to
8 Detective Kaiser as follows:

9 Q: And after reading you your Miranda rights, he basically
told you why you were at the police station?

10 A: Yes, he did.

11 Q: And, specifically what he said is there are allegations of
12 child molestation involving [the child].

13 A: Correct.

14 Q: And he asked you if you had anything to say about that?

15 A: That's correct.

16 Q: And you didn't react, did you?

17 A: Yes, I did.

18 Q: How did you react?

19 A: I believe I told him that those allegations, there's
20 nothing—there would be no reasons why I should have
allegations like that or some words to the effect.

21 Q: You never said, "I didn't molest [the child]?"

22 A: No, I did not.

23 Q: You didn't get visibly upset when you heard you were being
24 accused of molesting your biological daughter, did you?

25 DEFENSE COUNSEL: Objection, calls for speculation. If we
could approach on that, Your Honor, I have a specific purpose
for that.

26 (A sidebar conference was held, not reported).

27 THE COURT: . . . The objection . . . is sustained.
28

1 PROSECUTOR: Mr. Merino, when you were informed that you were
2 being accused of molesting your four-year-old biological
daughter, the only thing you said is "I have a lot to say
about this," fair to say?

3 A: Correct.

4 Q: You didn't expand on that?

5 A: No, I did not.

6 Q: Detective Kaiser didn't prevent you from expanding on
7 that, did he?

8 A: No, he—

9 DEFENSE COUNSEL: Your Honor, I'm going to object. May we
approach?

10 THE COURT: Yes.

11 (A sidebar conference was held, not reported).

12 THE COURT: The objection is sustained.

13 RT 466-68.

14 Further along in Petitioner's cross-examination, the
15 following exchange took place between the prosecutor and
16 Petitioner:

17 Q: You indicated that if [H.] had called you a child molester
18 and said she was going to call the police, military training
19 or not, you would have protested and not done nothing,
correct?

20 A: That's correct.

21 Q: Immediately after Detective Kaiser told you you were being
22 accused of child molest, you basically did nothing, correct?

23 A: There is a big difference between being in a police
station with Detective Kaiser there and being at home with my
24 wife saying something crazy. There is a huge difference.

25 Q: Well, you are right. When you are at the police station,
26 you are actually being accused of something criminally,
correct?

27 A: Correct.
28

1 Q: That's actually more serious than your wife yelling at
2 you?

3 DEFENSE COUNSEL: Objective, argumentative. May we approach,
4 Your Honor?

5 THE COURT: No. The objection is sustained to the question as
6 phrased.

7 Q: Mr. Merino, what do you take as more serious, your wife
8 accusing you in a home situation or a police officer saying
9 you are being accused criminally of molesting your daughter?

10 A: Which I would take as more offensive actually is my wife
11 saying that because that would be sprung off—if she was
12 going to say that, then it would go from her to he [sic]
13 thinks, then to the police and so forth, so that would have
14 rattled my cage right that second, I would guarantee you.

15 Q: Detective Kaiser saying that didn't rattle your cage
16 immediately?

17 DEFENSE COUNSEL: Objection, misstates the evidence.

18 THE COURT: Overruled.

19 THE WITNESS: With Detective Kaiser saying that, he's been
20 told that. He's doing his job. I had no fault with him
21 doing his job. We all have our missions to do. This is a
22 role we play. Someone told him that. It's not his fault
23 he's in there accusing me.

24 Q: What I'm getting at—correct me if you have a different
25 way of looking at things. If I was accused of molesting my
26 daughter, the first thing I would say immediately after being
27 accused of that is no, I did not molest my daughter.

28 DEFENSE COUNSEL: Objection, testimonial.

PROSECUTOR: I can add to that question.

THE COURT: Why don't you rephrase the question.

Q: Why didn't you say immediately after being accused by
Detective Kaiser, I didn't molest her?

A: I said, Detective Kaiser, I have got lots to say about
that.

Q: That was the extent of it at that time, correct?

1 A: At that time, he's doing his job. I'm telling him that I
2 have lots to say about that.

3 Q: Thank you. I have nothing further.

4 RT 483-85.

5 In its rebuttal case, the prosecution called Detective
6 Kaiser, who stated that Petitioner remained calm and did not
7 appear agitated when Detective Kaiser told him he was being
8 accused of molesting his daughter. RT 488-89.

9 After the parties rested and the jury left the courtroom, the
10 court noted for the record what was discussed during the sidebar
11 conference when defense counsel objected to the prosecutor's
12 question whether Detective Kaiser prevented Petitioner from
13 expanding on his answer to the molestation charge. The court
14 noted that defense counsel objected on the ground that Petitioner
15 terminated the interview shortly after being Mirandized by
16 invoking his right to counsel, and that the prosecutor's question
17 was an improper comment on his assertion of his right to remain
18 silent. RT 495-96. The court noted it had cautioned the
19 prosecutor that his questions "would have to be extremely specific
20 and absolutely clear," but that "it was very clear to the court
21 that in that particular line of inquiry, you had not crossed the
22 line into the area of failing to speak or asserting a right to
23 counsel." RT 496. The court stated that it had recognized that
24 the prosecutor was trying to question Petitioner about his
25 responses during the time between Petitioner's Miranda waiver and
26 his invocation of his right to counsel. RT 495-96. The court
27 noted that it sustained defense counsel's objection to the
28 question because it "arguably to some minor degree touch[ed] on
the questions of defendant's assertion of the privilege." RT 496.

1 During the sidebar conference, the prosecutor had "showed the
2 Court the area in the transcript which was quite early in the
3 interview and several colloquies prior to the assertion of the
4 privilege." RT 496-97.

5 During jury instructions, the court included the following
6 instruction: "If an objection was sustained to a question, do not
7 guess what the answer might have been. Do not speculate as to the
8 reason for the objection. Do not assume to be true any
9 insinuation suggested by a question asked a witness. A question
10 is not evidence and may be considered only as it helps you to
11 understand the answer." RT 511. The court also instructed with
12 CALJIC No. 2.71.5:

13 If you should find from the evidence that there was an
14 occasion where the defendant, one, under circumstances which
15 reasonably afforded him an opportunity to reply, two, failed
16 to make a denial in the face of an accusation expressed
17 directly at him or in his presence charging him with a crime
18 for which the defendant is now on trial or tending to connect
19 him with its commission, and, three, that he heard the
20 accusation and understood its nature, then the circumstance
21 of his silence on that occasion may be considered against him
22 as indicating an admission that the accusation thus made was
23 true.

24 Evidence of an accusatory statement is not received for the
25 purpose of showing its truth but only as it supplies meaning
26 to the silence of the accused in the face of it. Unless you
27 find that the defendant's silence at the time indicated an
28 admission that the accusatory statement was true, you must
entirely disregard the statement.

RT 517-18.

3. Prosecutor's Closing Argument

In his closing argument, the prosecutor argued as evidence of
guilt that Petitioner was not visibly upset at the time he was
arrested and at the time he was told he had been arrested for
molesting his own daughter. RT 534; 547-48.

1 4. Motion for New Trial

2 After the jury rendered its guilty verdict, Petitioner moved
3 for a new trial based on Doyle error. RT 619. The court denied
4 the motion for the following reasons: (1) when considered in
5 context, the prosecutor's questions on cross-examination were not
6 meant as a commentary on Petitioner's assertion of his right to
7 counsel, but rather were meant to highlight Petitioner's "absolute
8 lack of reaction" to the news that he was being accused of
9 molesting his daughter; (2) similarly, the questions on recross-
10 examination were intended as impeachment of Petitioner's testimony
11 on redirect examination that, had his wife accused him of
12 molesting their daughter, he would have issued an immediate
13 denial; and (3) the court sustained defense counsel's objection to
14 a question that "appeared to have transgressed" to "some minor
15 degree" in the area of Doyle error." RT 645-48.

16 B. Court of Appeal Opinion

17 The Court of Appeal concluded that it was error for the court
18 to have instructed the jury with CALJIC No. 2.71.5 because "the
19 record showed that defendant's failure to reply in one situation
20 was based upon his constitutional right to remain silent." Ex. 3
21 at 12. The Court of Appeal did not determine whether Doyle error
22 occurred, but denied the claim on the ground that, "even if there
23 was error, any error was clearly harmless beyond a reasonable
24 doubt." Id. at 12-13. The Court of Appeal found the error to be
25 harmless as follows:

26 First, defendant initially waived his Miranda rights and
27 spoke to Detective Kaiser after he was arrested, thus the
28 prosecutor could properly question defendant about his
responses to Detective Kaiser's initial questions. Defense
counsel failed to object to most of the questions the
prosecutor asked defendant regarding his responses to

1 Detective Kaiser's questions, and the court sustained the one
2 objection that counsel did raise. In addition, the
3 prosecutor's questions about defendant's responses were
4 brief, and there is no indication that defendant's later
5 invocation of his right to remain silent was mentioned in the
6 prosecutor's argument. The child testified that defendant
7 took her to his room, put her under the bed covers, and put
8 his hard penis in her mouth. She did not want to do it and
9 she cried. She also testified that defendant had done this
10 before, but that she did not tell her mother that he had. H.
11 testified that she heard the child's muffled crying, and
12 found the child under the bed covers with defendant's penis
13 in her mouth. In the child's presence, H. told the 911
14 operator what had happened. Defendant did not dispute that
15 his daughter was in bed with him, but testified that he does
16 not recall bringing the child into his room and that it was
17 not unusual for the child to get into bed with him. He also
18 testified that he would never have molested his child, that
19 he told Detective Kaiser that there would be no reasons for
20 the allegations, and that he is upset about being falsely
21 accused. The defense expert testified that the child's
22 memory of what happened could have been affected by what she
23 heard her mother say on the telephone and by subsequent
24 interviews. The jury deliberated only two hours before
25 reaching its guilty verdict, necessarily determining the
26 issue of credibility in favor of the child and H. rather than
27 defendant. On the record before us we conclude that it is
28 clear beyond a reasonable doubt that a rational jury would
have found the defendant guilty even absent the alleged Doyle
error.

Ex. 3 at 13.

C. Federal Authority

To protect the right to remain silent, a defendant's silence
"at the time of arrest and after receiving Miranda warnings"
cannot be used to impeach him should he choose to testify at
trial. Doyle v. Ohio, 426 U.S. 610, 619 (1976). But "the
Constitution does not prohibit the use for impeachment purposes of
a defendant's silence prior to arrest, Jenkins v. Anderson, 447
U.S. 231, 239 (1980), or after arrest if no Miranda warnings are
given, Fletcher v. Weir, 455 U.S. 603, 606-607 (1982) (per
curiam)." Brecht v. Abrahamson, 507 U.S. 619, 628 (1993). If a
defendant voluntarily speaks after receiving Miranda warnings,

1 Doyle does not apply because the defendant has not remained
2 silent. Anderson v. Charles, 447 U.S. 404, 408 (1980). Likewise,
3 pre-arrest, pre-Miranda silence may be used as substantive
4 evidence of guilt. United States v. Oplinger, 150 F.3d 1061,
5 1066-67 (9th Cir. 1998) (bank employee's Fifth Amendment and due
6 process rights not violated by prosecution's comments on
7 employee's silence in response to employer's accusations of
8 criminal conduct). No Doyle violation occurs if the district
9 court promptly sustains a timely objection to a question
10 concerning post-arrest or post-Miranda silence, instructs the jury
11 to disregard the question and gives a curative jury instruction.
12 United States v. Lopez, 500 F.3d 840, 844 (9th Cir. 2006).

13 Overbroad questioning and closing argument that encompass
14 post-arrest/pre-Miranda, as well as post-Miranda, silence may
15 violate Doyle. Id. (Doyle error occurred when prosecutor asked
16 defendant whether he ever mentioned his excuse to a border patrol
17 agent because defendant had been processed and given Miranda
18 rights by that agent; the questioning covered permissible and
impermissible periods).

19 D. Analysis

20 Respondent argues that no Doyle error occurred because all of
21 the prosecutor's questions Petitioner challenges properly referred
22 to the short time period after Petitioner waived his Miranda
23 rights and before he invoked his right to an attorney. The
24 following factors weigh in favor of finding Doyle error.

25 In Lopez, the court held that the prosecutor's questions
26 violated Doyle. Id. The court explained its ruling as follows:

27 The [prosecutor's] inquiries regarding what Lopez failed to
28 tell Harrington violated Doyle, because Lopez's contact with

1 Harrington encompassed both pre-Miranda and post-Miranda
2 periods. By drawing attention to the fact that Lopez "never"
3 mentioned the alleged threats to Harrington, the prosecutor
4 implicated Lopez's silence both pre-Miranda and post-Miranda.
5 "Even if counsel for the government intended his comments to
6 refer only the post-arrest/pre-Miranda silence, the actual
7 language used contains no such limitation and it is highly
8 doubtful that the jury understood any such limitation."

9 Id. (citing United States v. Baker, 999 F.2d 412, 415 (9th Cir.
10 1993)).

11 The situation here is similar to that in Lopez. For
12 instance, in his first line of questioning, the prosecutor asked
13 Petitioner, "You never said, 'I didn't molest [the child].'"
14 Petitioner responded, "No, I did not." As in Lopez, the jury
15 would not have known that the prosecutor was referring only to the
16 brief period of time before Petitioner invoked his right to an
17 attorney. The prosecutor also asked Petitioner to confirm that he
18 only said "I have a lot to say about this," after he was informed
19 that he was accused of molesting his daughter, and Petitioner
20 replied, "Yes." The prosecutor then asked, "You didn't expand on
21 that?" and Petitioner replied, "No, I did not." Again, the jury
22 would have no way of knowing that the prosecutor was referring
23 only to the short span of time between Petitioner's Miranda waiver
24 and his request for an attorney. The prosecutor also asked, "Why
25 didn't you say immediately after being accused by Detective
26 Kaiser, I didn't molest her?" and Petitioner responded that he
27 said "I have got lots to say about that." Although, in asking
28 this question, the prosecutor was careful to include the time
frame, "immediately after being accused by Detective Kaiser," the
jury would not have understood the short period of time to which
the prosecutor was referring and would not know that immediately
after answering this question, Petitioner invoked his right to an

1 attorney. See Baker, 999 F.2d at 415 ("The government suggests
2 that because it argued to the court that its comments were
3 addressing post-arrest/pre-Miranda silence, it was clear that the
4 jury understood this limitation. Without an explanation of
5 Miranda and a limiting instruction from the court, there is no
6 reason to believe the jury understood the narrow grounds on which
7 counsel's statements may have been permissible.").

8 The second factor weighing in favor of finding error is the
9 trial court's instructing the jury with CALJIC No. 2.71.5,
10 indicating that silence in the face of an accusation is evidence
11 of guilt. The note to this instruction indicates that it "must
12 not be given in a situation where failure to reply is based upon
13 defendant's constitutional right to remain silent." As noted by
14 the Court of Appeal, Petitioner's failure to reply, during part of
15 the questioning, was based on his constitutional right to remain
16 silent.

17 The following factors weigh in favor of finding no Doyle
18 error. First, defense counsel objected to many of the
19 prosecutor's improper questions and the trial court sustained most
20 of the objections. Although the trial court did not immediately
21 give curative instructions, it instructed the jury at the end of
22 the trial that, if an objection was sustained, the jury should not
23 speculate about the answer or consider the question. Under Lopez,
24 500 F.3d at 844, the sustained objection and the curative
25 instruction at the end of the trial arguably cured any
26 prosecutorial misconduct in asking the question. See also Greer
27 v. Miller, 483 U.S. 756, 766 n.8 (1987) (when curative instruction
28 is issued, court presumes that jury has disregarded inadmissible
evidence and that no due process violation occurred).

1 Second, contrary to Petitioner's contention, the prosecutor's
2 brief references, in his closing argument, to Petitioner's
3 demeanor at the time of his arrest and during the interview did
4 not implicate Petitioner's right to remain silent.

5 Third, case law supports the trial court's ruling that
6 Petitioner could be questioned about what he said during the brief
7 time period between his Miranda waiver and his request for an
8 attorney. See Anderson, 447 U.S. at 408 (Doyle does not apply to
9 defendant's voluntary statements after he receives Miranda
10 warning).

11 The Court of Appeal was not unreasonable in its finding that
12 the question of Doyle error did not have to be resolved because,
13 even if an error occurred, no prejudice resulted.

14 The California Court of Appeal found no prejudice pursuant to
15 Chapman v. California, 386 U.S. 18, 24 (1967), under which the
16 inquiry is: "Is it clear beyond a reasonable doubt that a rational
17 jury would have found the defendant guilty absent the error?"
18 Petitioner, applying the Chapman standard, argues that there was
19 prejudice as a result of Doyle error. However, in federal habeas
20 proceedings, the more lenient Brecht standard for prejudice is
21 applied, which requires the court to determine whether the error
22 had a substantial and injurious effect or influence in determining
23 the jury's verdict. Brecht, 507 U.S. at 638. There was no
24 prejudice under Brecht for the following reasons.

25 First, Petitioner waived his Miranda rights by continuing to
26 talk to Detective Kaiser after he was given the Miranda admonition
27 and, thus, the prosecutor properly could question Petitioner about
28 his responses during the short time between the Miranda warning
and Petitioner's invocation of his right to an attorney. Second,

1 defense counsel objected to some of the prosecutor's improper
2 questions, and the trial court sustained most of these objections
3 and gave a curative instruction at the end of the trial. Third,
4 in his closing argument, the prosecutor did not make any improper
5 comments about Petitioner's silence. Fourth, defense counsel
6 explained the following to the jury in his closing argument:

7 Under the law, [Petitioner] doesn't have to say a word and he
8 says a few words. The fact that there is no additional
9 statement, you should not speculate about that whatsoever.
10 Now I know there is going to be a strong tendency on your
11 part to do that. I don't blame you. I understand that.
12 But, you have to say hey, you know what, that's the rule and
13 we can't look into that. . . . So, you should not in any way
14 say well, he didn't explain it more beyond that. Because
15 under the law he's not required to. So just if you will
16 please do your duty as jurors and not get into guessing about
17 why didn't he say that, why didn't he say that, because he's
18 not required to.

19 RT 579.

20 Finally, and most important, the evidence against Petitioner
21 was strong. The child testified that Petitioner took her into his
22 room, put her under the bed covers, and put his penis in her
23 mouth. She did not want to do it and she cried. RT 234-40. Her
24 description of these events did not noticeably change from her
25 interview with police officers and with Detective Kaiser. For the
26 most part, H.'s testimony corroborated that of the child. H.
27 testified that she heard the child's muffled crying and found the
28 child in Petitioner's bed, under the bed covers, with Petitioner's
hard penis in her mouth. RT 133-40. Petitioner did not dispute
that the child was in bed with him, but testified that he did not
recall bringing her into his room and that it was not unusual for
the child to get into bed with him. He also testified that he did
not molest the child and that he was upset about being falsely

1 accused. He presented expert testimony that the child's memory of
2 what happened could have been affected by what she heard her
3 mother say, first on the telephone to the 911 operator and then to
4 the police when they arrived at the house.

5 Thus, the primary issue before the jury was credibility.
6 That the jury deliberated only two hours before reaching a guilty
7 verdict is an indication that the prosecutor's case was strong and
8 that the jury found the child and H. were credible and Petitioner
9 was not. See Lopez, 500 F.3d at 846 ("Longer jury deliberations
10 weigh against a finding of harmless error because lengthy
11 deliberations suggest a difficult case."); United States v.
12 Velarde-Gomez, 269 F.3d 1023, 1036 (9th Cir. 2001)(jury's
13 deliberation for two-and-one-half hours on illegal reentry case
14 suggested any error in allowing testimony or commentary on
15 defendant's post-arrest silence was harmless).

16 Petitioner's argument that the prosecutor's case was weak is
17 not persuasive. First, his argument based upon the lack of
18 physical evidence such as the child's saliva on his penis or body
19 fluids on the sheets is not persuasive. Because the police failed
20 to collect this evidence does not mean it did not exist. Also,
21 the police's failure to collect physical evidence does not negate
22 the credible testimony of the child and H.

23 Second, Petitioner's contention that H. was a biased witness
24 who was motivated by financial considerations and her desire to
25 obtain custody of the children, is belied by the record. H.
26 testified to the following:

27 Petitioner was the financial provider for the family and H.
28 was a stay-at-home mom. RT 150. Before the charged offense
occurred, H. had been thinking about divorce but she decided to

1 put it off for a couple of months because Petitioner had the
2 opportunity to open his own business and if she waited until that
3 happened she could probably get more support money for the
4 children and herself. RT 127. Before Petitioner was arrested, H.
5 was living in a four bedroom split-level home and, after his
6 arrest, she was living in a one bedroom apartment with her two
7 children. RT 150-51. H. was taking classes so that she could
8 qualify for a job because Petitioner could no longer financially
9 support the family. RT 151.

10 The evidence that H. was worse off financially after
11 Petitioner's arrest undermines his argument that H. lied for
12 financial gain. H. had no financial reason to lie because she
13 knew that, in a few months, Petitioner would be making more money
14 which meant she would be better off financially in the future than
15 on the day she reported Petitioner's offense to the police.

16 Petitioner also argues that H. lied so that she would be
17 awarded custody of the children. H. testified that, although both
18 she and Petitioner wanted sole custody of the children in the
19 event they divorced, she thought she would be granted custody
20 because, as a stay-at-home mom, she spent the most time with the
21 children. RT 154. This shows H. would have no reason to accuse
22 Petitioner of child molestation to obtain custody of the children.

23 Petitioner also argues the child's story is not true because
24 her bed looked like she had not slept in it but she testified that
25 Petitioner woke her up from sleeping in her bed to take her into
26 his bedroom. However, Petitioner did not dispute that H. found
27 the child under the covers in his bed. Whether she was asleep in
28 her bed before she came to be in Petitioner's bed is not relevant
because it is undisputed that she was in Petitioner's bed.

1 Therefore, Petitioner's argument that the prosecutor's case
2 was weak is belied by the record showing that the evidence against
3 him was strong.

4 For all the above reasons, any Doyle error did not have a
5 substantial and injurious effect or influence in determining the
6 jury's verdict. Accordingly, the California Court of Appeal's
7 denial of this claim was not objectively unreasonable. Habeas
8 relief is denied.

9 II. Griffin Error

10 Petitioner contends that his due process rights were violated
11 under Griffin v. California, 380 U.S. 609 (1965) because the trial
12 court allowed the prosecutor to question him about his post-
13 Miranda silence and gave CALJIC No. 2.71.5, which instructed the
14 jury that it could consider his post-Miranda silence as an
15 admission of guilt.

16 A. Trial Court Proceedings

17 Defense counsel objected to the court giving CALJIC No.
18 2.71.5. RT 502. The trial court stated that the instruction was
19 applicable to Petitioner's failure to deny his wife's accusation
20 that he was a child molester and to his failure to deny Detective
21 Kaiser's accusation of child molestation. RT 503. Defense
22 counsel conceded the instruction was applicable to the situation
23 with Petitioner's wife, but argued it was not applicable to the
24 situation with Detective Kaiser because Petitioner was beginning
25 to deny it, but then changed his mind and invoked Miranda.

26 Defense counsel argued that Petitioner's response to Detective
27 Kaiser could not be considered an adoptive admission and because
28 Petitioner was in the process of invoking Miranda, the instruction

1 "invites Griffin error." The trial court rejected counsel's
2 arguments and instructed with CALJIC No. 2.71.5. RT 507-09.

3 B. Federal Authority

4 In Griffin v. California, the Supreme Court held it was a
5 violation of the defendant's right against self-incrimination for
6 the trial court or prosecutor to comment on the defendant's
7 failure to testify. 380 U.S. at 615. However, even if the
8 prosecutor's statements violate Griffin, "[r]eversal is warranted
9 only where such comment is extensive, where an inference of guilt
10 from silence is stressed to the jury as a basis for the
11 conviction, and where there is evidence that could have supported
12 acquittal." Hovey v. Ayers, 458 F.3d 892, 912 (9th Cir. 2006)
13 (quotation and citation omitted).

14 C. Analysis

15 Petitioner raised this claim in his habeas petition in the
16 Superior Court which denied it on the procedural ground that
17 Petitioner could have, but did not, bring it up on direct appeal.
18 Ex. 7 at 1. Respondent does not argue that this claim is
19 procedurally defaulted. Therefore, this Court independently
20 reviews the record to determine if the state courts' rejection of
21 this claim was objectively unreasonable.

22 Griffin error addresses a situation where the defendant's
23 decision not to testify is adversely commented upon by the court
24 or prosecutor. Here, Petitioner testified. Thus, no Griffin
25 error occurred. Even if Griffin is interpreted as meaning the
26 prosecutor cannot comment on Petitioner's silence, the claim would
27 still fail. As discussed above, the prosecutor's closing
28 statements challenged by Petitioner have to do with Petitioner's
demeanor when he was arrested and when he learned that he was

1 being accused of child molestation. The comments on Petitioner's
2 demeanor do not implicate Griffin because they are not about
3 Petitioner's silence.

4 If Griffin is extended to apply to the court's giving CALJIC
5 No. 2.71.5, the claim fails for the same reason discussed above in
6 relation to the Doyle claim, which is that, even if it was error
7 to give this instruction, the error did not have a substantial and
8 injurious effect or influence in determining the jury's verdict.

9 Petitioner cites Malloy v. Hogan, 378 U.S. 1 (1964), in
10 support of his argument. Petition, Attachment A at 8. Malloy is
11 not applicable because it did not address a Griffin claim. It
12 held that the petitioner, who was a witness in a state criminal
13 proceeding, was protected by the Fifth and Fourteenth Amendments
14 from providing testimony that might furnish a link in a chain of
15 evidence that might incriminate him. Id. at 11-14. In his
16 traverse, Petitioner cites other cases that address jury
17 instructions in general, but not CALJIC No. 2.71.5, and that do
18 not pertain to Griffin error. Therefore, none of the cases
19 Petitioner cites supports his claim of Griffin error.

20 Accordingly, the Court concludes that no Griffin error
21 occurred. Habeas relief on this claim is denied.

22 III. Ineffective Assistance of Trial and Appellate Counsel

23 Petitioner contends that trial counsel was ineffective
24 because he should have timely objected to the prosecutor's
25 opening-statement reference to Petitioner's post-arrest silence
26 and he should have objected to the prosecutor's follow-up
27 questions regarding Petitioner's post-Miranda silence. Petitioner
28 contends that appellate counsel was ineffective because he failed
to raise a claim of Griffin error on direct appeal.

1 A. Federal Authority

2 1. Trial Counsel

3 In order to prevail on a Sixth Amendment ineffectiveness of
4 counsel claim, Petitioner must establish two things. First, he
5 must establish that counsel's performance was deficient, i.e.,
6 that it fell below an "objective standard of reasonableness" under
7 prevailing professional norms. Strickland v. Washington, 466 U.S.
8 668, 687-88 (1984). Second, he must establish that he was
9 prejudiced by counsel's deficient performance, i.e., that "there
10 is a reasonable probability that, but for counsel's unprofessional
11 errors, the result of the proceeding would have been different."
12 Id. at 694. A reasonable probability is a probability sufficient
13 to undermine confidence in the outcome. Id. "The likelihood of a
14 different result must be substantial, not just conceivable."
15 Harrington v. Richter, 131 S. Ct. 770, 792 (2011) (citing
16 Strickland, 466 U.S. at 693).

17 2. Appellate Counsel

18 The Due Process Clause of the Fourteenth Amendment guarantees
19 a criminal defendant the effective assistance of counsel on his
20 first appeal as of right. Evitts v. Lucey, 469 U.S. 387, 391-405
21 (1985). Claims of ineffective assistance of appellate counsel are
22 reviewed according to the standard set out in Strickland v.
23 Washington, 466 U.S. 668 (1984). Smith v. Robbins, 528 U.S. 259,
24 285 (2000). First, the petitioner must show that counsel's
25 performance was objectively unreasonable, which in the appellate
26 context requires the petitioner to demonstrate that counsel acted
27 unreasonably in failing to discover and brief a merit-worthy
28 issue. Id. Second, the petitioner must show prejudice, which in
this context means that the petitioner must demonstrate a

1 reasonable probability that, but for appellate counsel's failure
2 to raise the issue, the petitioner would have prevailed in his
3 appeal. Id. Appellate counsel does not have a constitutional
4 duty to raise every nonfrivolous issue requested by the defendant.
5 Jones v. Barnes, 463 U.S. 745, 751-54 (1983). The weeding out of
6 weaker issues is widely recognized as one of the hallmarks of
7 effective appellate advocacy. Miller v. Keeney, 882 F.2d 1428,
8 1434 (9th Cir. 1989). Appellate counsel therefore will frequently
9 remain above an objective standard of competence and have caused
10 his client no prejudice for the same reason—because he declined
11 to raise a weak issue. Id.

12 B. Analysis

13 Petitioner raised this claim in his state habeas petitions.
14 A written decision was issued only by the Superior Court. The
15 Superior Court denied the claim pertaining to trial counsel on the
16 ground that Petitioner had failed to show that he received
17 ineffective assistance. Ex. 7 at 2. It denied the claim
18 pertaining to appellate counsel on the ground that it was, in
19 effect, a challenge to the CALJIC No. 2.71.5 instruction, which
20 the Court of Appeal had considered on direct appeal and held that,
21 although the instruction was given in error, it was harmless in
22 Petitioner's case. Id.

23 The Superior Court's denial of these ineffective assistance
24 claims was not objectively unreasonable. Trial counsel's
25 objection to the prosecutor's improper opening-statement reference
26 to Petitioner's silence immediately after his arrest was untimely.
27 However, as counsel recognized, the prosecutor's opening statement
28 was not evidence and counsel was more concerned with the
prosecutor introducing during the trial evidence of Petitioner's

1 post-arrest silence. In response to counsel's objection, the
2 court ruled that the prosecutor could not introduce evidence of
3 Petitioner's silence during his arrest. RT 218-19. Thus,
4 although counsel's objection to the prosecutor's opening remarks
5 was untimely, counsel's more important objection to the
6 prosecutor's introducing evidence of Petitioner's silence during
7 his arrest was timely and successful.

8 Further, as revealed in the excerpts of the record cited in
9 connection with Petitioner's claim of Doyle error, during
10 Petitioner's cross-examination, defense counsel objected five
11 times to the prosecutor's questions that infringed upon
12 Petitioner's right to remain silent. Counsel did not object to
13 the question, "Why didn't you say immediately after being accused
14 by Detective Kaiser, I didn't molest her," because the prosecutor,
15 by including the phrase, "immediately after being accused by
16 Detective Kaiser," limited his question to the short period of
17 time after Petitioner waived his Miranda rights and before he
18 invoked his right to an attorney. The trial court had previously
19 ruled that the prosecutor could question Petitioner about his
20 statements during this time period. Thus, if counsel had objected
21 to this question, the objection would have been overruled.
22 Counsel cannot be faulted for failing to make a futile objection.
23 See Juan H. v. Allen, 408 F.3d 1262, 1273 (9th Cir. 2005)
24 (counsel's performance cannot be deemed deficient for failing to
25 make a meritless objection or failing to take a futile action).

26 In regard to appellate counsel, the Court has concluded above
27 that there was no Griffin error. Therefore, appellate counsel's
28 failure to include a Griffin claim on direct appeal does not
constitute deficient performance. See Miller, 882 F.2d at 1434

1 (declining to raise a weak issue on appeal does not constitute
2 deficient performance).

3 Accordingly, neither trial nor appellate counsel performed
4 ineffectively. Habeas relief on this claim is not warranted.

5 IV. Prosecutorial Misconduct

6 In his amended petition, citing People v. Uribe, 162 Cal.
7 App. 4th 1457 (2008), Petitioner claims the prosecutor committed
8 misconduct by suppressing a videotape of the Sexual Assault
9 Response Team (SART) examination of the child which allegedly was
10 conducted within hours of the assault and which allegedly would
11 have provided exculpatory evidence.³

12 A. Factual Background

13 As discussed above, at trial, the primary evidence against
14 Petitioner was the testimony of H. and the child. Both of them
15 testified that Petitioner forced the child to orally copulate him
16 while he lay in his bed. No physical evidence was submitted by
17 the prosecution or defense. Sheets seized from Petitioner's bed
18 were not tested for bodily fluids and Petitioner's penis was not
19 swabbed after he was arrested. There was no evidence that the
20 child was physically examined after the incident.

21 In his rebuttal closing argument, the prosecutor made the
22 following remarks in response to defense counsel's argument that
23 the prosecutor presented no physical evidence of a sexual assault:
24 "There was no examination of [the child's] body that was brought

25 ³ Petitioner asserts two claims: (1) prosecutorial misconduct
26 for the suppression of material evidence; and (2) violation of
27 Brady v. Maryland. Because a Brady violation is the same as a
28 claim of prosecutorial misconduct for the suppression of evidence,
the Court addresses them as one claim.

1 into evidence. And nobody is alleging that the defendant
2 penetrated [the child] in any way or did anything like that. So
3 you wouldn't expect anything from a medical exam." RT 595-96.

4 After Petitioner filed his federal habeas petition, the
5 California Court of Appeal issued its opinion in People v. Uribe,
6 162 Cal. App. 4th 1457 (2008), which found that a videotape of the
7 victim's SART examination was favorable evidence for the defense
8 and held that the failure to produce the video of the SART exam
9 constituted a Brady violation. Id. at 1475, 1479, 1482. To find
10 that the videotape was material within the meaning of Brady, the
11 court relied heavily on the fact that the case against the
12 defendant was weak. Id. at 1482.

13 On the basis of Uribe, Petitioner filed a petition for a writ
14 of habeas corpus in the state Superior Court asserting that the
15 prosecutor in his case withheld exculpatory evidence of a
16 videotape of the child's SART examination. The Superior Court
17 denied the petition, as follows:⁴

18 In the aftermath of the Uribe case, the District Attorney has
19 begun retrieval and examination of all the SART videotapes
20 that may support claims such as Petitioner has made. The
21 tapes are being provided to the Public Defender who will be
22 bringing motions or petitions to reopen cases when
23 appropriate. It is only when such a motion is justified by a
24 review of the SART tape at issue that Superior Court
25 involvement becomes justified. The Superior Court cannot
26 issue orders to show cause, in the hundreds of cases in
27 question, without a preliminary determination by the
28 attorneys who have viewed the evidence that action is
warranted. Accordingly, petitioner's claim on this point is
denied without prejudice. Petitioner may contact the offices
of the District Attorney or Public Defender for further
information.

⁴ Petitioner submitted only the first page of the Superior Court order. Respondent quotes the entire two-page order, which is cited here.

1 In re Merino, No. CC328533 (Santa Clara Co. Sup. Ct. Aug. 3,
2 2010).

3 The California Court of Appeal and Supreme Court summarily
4 denied Petitioner's petitions. Pet'r's Appx. 2, 3. This Court
5 reviews the decision of the Superior Court as the last reasoned
6 state court decision.

7 A. Federal Authority

8 In order to succeed under Brady v. Maryland, 373 U.S. 83
9 (1963), a petitioner must show: (1) that the evidence at issue is
10 favorable to the accused, either because it is exculpatory or
11 impeaching; (2) that it was suppressed by the prosecution, either
12 willfully or inadvertently; and (3) that it was material. Banks
13 v. Dretke, 540 U.S. 668, 691 (2004).

14 Under Brady, that evidence was "material" is the same as that
15 lack of it was "prejudicial." United States v. Kohring, 637 F.3d
16 895, 902 n.1 (9th Cir. 2011). Evidence is material if "there is a
17 reasonable probability that, had the evidence been disclosed to
18 the defense, the result of the proceeding would have been
19 different." Cone v. Bell, 556 U.S. 449, 469-70 (2009). "A
20 reasonable probability does not mean that the defendant 'would
21 more likely than not have received a different verdict with the
22 evidence,' only that the likelihood of a different result is great
23 enough to 'undermine confidence in the outcome of the trial.'" Smith v. Cain, 132 S. Ct. 627, 630 (2012) (quoting Kyles v.
24 Whitley, 514 U.S. 419, 434 (1995)). However, the mere possibility
25 that undisclosed information might have been helpful to the
26 defense or might have affected the outcome of the trial does not
27 establish materiality under Brady. United States v. Olsen, 704
28 F.3d 1172, 1184 (9th Cir. 2013).

1 B. Analysis

2 In his amended petition, Petitioner refers to a letter dated
3 November 2009 from the Santa Clara Public Defender's Office
4 informing him that his case was under review pursuant to the Uribe
5 decision and that the office would represent him should his case
6 come within the purview of that decision. Amend. Pet. at 6. In
7 his traverse, Petitioner attaches additional letters. Exhibit A
8 is a December 9, 2009 letter from the Public Defender's office
9 indicating it was in the initial evaluation process of
10 Petitioner's case, including attempting to obtain his file from
11 his private attorney who defended him. Exhibit B consists of two
12 letters: (1) a February 10, 2010 letter from the Public Defender's
13 office to Petitioner's former defense attorney requesting
14 Petitioner's trial file for the Public Defender's review pursuant
15 to the People v. Uribe decision and (2) a September 24, 2010
16 letter from the Public Defender's office to Petitioner informing
17 him that the Public Defender could not send him a copy of the SART
18 examination photographs or videotape because it depicted naked
19 images of a child. Petitioner was advised to contact the District
20 Attorney's Office which would release the material to a legitimate
21 medical expert, but not to Petitioner directly. Exhibit C is a
22 February 26, 2010 letter from the Public Defender's office to
23 Petitioner indicating that it was enclosing a copy of the
24 "relevant" SART report, with the names of victims or witnesses
redacted.

25 These letters appear to support Petitioner's contention that
26 a SART examination was conducted of the child and that there is a
27 videotape of that examination. However, they do not support his
28 contention that the examination or the videotape of the

1 examination would provide material exculpatory evidence. It is
2 noteworthy that the February 26, 2010 letter indicates that the
3 Public Defender's office provided to Petitioner a copy of the
4 relevant SART report, but that Petitioner did not submit a copy of
5 the report with his amended petition. From this, it can be
6 inferred that the report does not provide exculpatory evidence
7 because, if it did, Petitioner would have submitted it in support
8 of his petition.

9 In his amended petition, Petitioner argues, because the SART
10 exam was conducted within hours of the alleged assault, "There was
11 no time for any healing of any injuries. The absence of bruising,
12 tearing, or any abrasions would be favorable and exculpatory for
13 the defense." Am. Pet. at 3. However, the type of crime that was
14 committed, oral copulation by the child, would most likely not
15 have produced any injuries, bruising, tearing or marks on the
16 child's body. Thus, the absence of such evidence is not
17 exculpatory.

18 Petitioner also argues that the SART videotape would be
19 material because the prosecutor's case against him was weak. He
20 bases this claim on the same arguments he gave in support of his
21 claim of Doyle error. However, as discussed above, Petitioner's
22 argument that the case against him was weak is unpersuasive.

23 Therefore, the Superior Court's denial of the Brady claim was
24 not objectively unreasonable.⁵

25
26 ⁵It is also noted that the Court of Appeal denied the
27 petition without prejudice should the Public Defender's office
28 find that the videotape of the child's SART examination was
material.

1 In his amended petition, Petitioner also asserts a claim of
2 ineffective assistance of trial and appellate counsel based on
3 their failure to discover the SART videotape. However, because
4 the Court has found no Brady violation, the ineffective assistance
5 of counsel claim fails because Petitioner cannot show prejudice.

6 V. Evidentiary Hearing

7 Petitioner requests an evidentiary hearing and appointment of
8 counsel to discover the full impact of the SART videotape. Am.
9 Pet. at 8. Petitioner is entitled to an evidentiary hearing on
10 disputed facts if his allegations, if proven, would entitle him to
11 relief. Perez v. Rosario, 459 F.3d 943, 954 n.5 (9th Cir. 2006);
12 Williams v. Calderon, 52 F.3d 1465, 1484 (9th Cir. 1995).

13 An evidentiary hearing is not required. As discussed above,
14 Petitioner has not shown the videotape contains material
15 exculpatory evidence and the record establishes that it is
16 unlikely that it does. Furthermore, Petitioner is protected by
17 the procedure put in place by the state courts requiring the
18 Public Defender's office to review SART videotapes and to submit
19 in state court a motion to reopen a case, where it is warranted.
20 Accordingly, Petitioner's request for an evidentiary hearing is
21 denied. See Tejada v. Dugger, 941 F.2d 1551, 1559 (11th Cir.
22 1991) (no hearing required if allegations, viewed against the
23 record, fail to state a claim for relief).

24 VI. Certificate of Appealability

25 The federal rules governing habeas cases brought by state
26 prisoners require a district court that denies a habeas petition
27 to grant or deny a certificate of appealability in the ruling.
28 Rule 11(a), Rules Governing § 2254 Cases, 28 U.S.C. foll. § 2254.

1 A petitioner may not appeal a final order in a federal habeas
2 corpus proceeding without first obtaining a certificate of
3 appealability. 28 U.S.C. § 2253(c); Fed. R. App. P. 22(b). A
4 judge shall grant a certificate of appealability "only if the
5 applicant has made a substantial showing of the denial of a
6 constitutional right." 28 U.S.C. § 2253(c)(2). The certificate
7 must indicate which issues satisfy this standard. 28 U.S.C.
8 § 2253(c)(3). "Where a district court has rejected the
9 constitutional claims on the merits, the showing required to
10 satisfy § 2253(c) is straightforward: The petitioner must
11 demonstrate that reasonable jurists would find the district
12 court's assessment of the constitutional claims debatable or
13 wrong." Slack v. McDaniel, 529 U.S. 473, 484 (2000).

14 The Court finds that reasonable jurists could find its ruling
15 on the claim of Doyle error debatable or wrong. Therefore, a
16 certificate of appealability is granted on this claim only.

17 Petitioner may not appeal the denial of a certificate of
18 appealability on his other claims in this Court but may seek a
19 certificate from the Court of Appeals under Rule 22 of the Federal
20 Rules of Appellate Procedure. See Rule 11(a) of the Rules
21 Governing Section 2254 Cases.

22 CONCLUSION

23 Based on the foregoing, the Court orders as follows:

- 24 1. The petition for a writ of habeas corpus is denied.
- 25 2. A certificate of appealability is granted on the claim of
26 Doyle error and denied on all other claims.
- 27 3. The request for an evidentiary hearing is denied.
- 28

1 4. The Clerk of the Court shall enter a separate judgment and
2 close the file.

3 IT IS SO ORDERED.

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5 Dated: 6/30/2014

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7 CLAUDIA WILKEN
8 UNITED STATES DISTRICT JUDGE
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United States District Court
For the Northern District of California